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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/663,291	09/16/2003	Chaitanya Dev Sareen	60001.0272US01/MS 301788.	2618
7590 Leonard J. Hope Merchant & Gould P.C. P.O. Box 2903 Minneapolis, MN 55402-0903			EXAMINER LONG, ANDREA NATAE	
			ART UNIT 2176	PAPER NUMBER
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/663,291	<b>Applicant(s)</b> SAREEN ET AL.	
	<b>Examiner</b> Andrea N. Long	<b>Art Unit</b> 2176	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 24 June 2008 and 26 August 2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1,3,5-7,9,10,12,14,15,18-25 and 30-32 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3,5-7,9,10,12,14,15,18-25 and 30-32 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 06/24/2008 and 08/26/2008 has been entered.

### **Applicant's Response**

In Applicant's Response dated 08/26/2008, Applicant amended claims 1, 3, 5-7, 9, 10, 12, 14, 15, 18-25, 30, and 32, cancelled claim 2, and argued against all objections and rejections previously set forth in the Office Action dated 03/26/2008.

### ***Claim Rejections - 35 USC § 101***

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 18-31 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

Claims 18-31 are considered software per se. Computer programs may be explicitly claimed as, for example, a series of code or instructions for performing functions or may be implicitly claimed as, for example, a user interface, a system, a module or an apparatus. Where

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there is no evidence in the specification that a means which may be interpreted as software, hardware or combinations thereof necessarily includes hardware, it will be interpreted in its broadest reasonable sense as a software means, which is the case here.

Thus a claim to functional descriptive material, including computer programs, per se, is not patent eligible subject matter.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

**Claims 1, 3, 5-7, 9-10, 12, 14-15, 18-25 and 30-32 are rejected under 35 U.S.C. 103(a) as being unpatentable over Tom Syroid et al. (Outlook 2000 In a Nutshell: A Power User's Quick Reference, published May 2000, pages 15 and 244-252) hereinafter "Syroid" in view of Diane Poremsky (Sams Teach Yourself Microsoft Office Outlook 2003 in 24 Hours, 2003), hereinafter Poremsky in further view of Microsoft Outlook (2000), hereinafter "Outlook" in further view of Fortenberry et al (US Patent 6101485), hereinafter "Fortenberry".**

**As to independent claim 1,** Syroid discloses the *method for providing a user*

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*interface for defining an electronic message handling rule (p. 245 [003], p. 246 Fig 6-14 → Syroid discloses rules for automated message handling in an email system), the method comprising, receiving a request to create an electronic message handling rule, wherein receiving the request to create the electronic message handling rule comprises receiving the request to create the electronic handling rule from a user interface button (p. 246 [004] [006] → Syroid discloses by selecting the Tools option to execute the Rules Wizard to create, modify or delete a rule); in response to the request, identifying a context in which the request to create the electronic message handling rule was received (p. 246 [004], Fig 6-14 → Syroid discloses a description is provided to give details on what the rule does); and providing a user interface for defining the electronic message handling rule, wherein the contents of the user interface are customized based on the identified context (p. 246 Fig 6-14 → Syroid displays a user interface which provides detailed rules). Syroid additionally teaches at least one user interface object for defining at least one condition for the electronic message handling rule and at least one user interface object for defining at least one action to be performed when the at least one condition is satisfied (p. 244 [002], p. 247 [007] → Syroid discloses that the message handling system uses conditions and actions to create a rule).*

*Syroid does not explicitly teach the context corresponding to a user selecting an electronic mail message, wherein the identified context comprises a context comprising reading an electronic mail message and wherein the user interface button is displayed within an electronic message reading pane, drop down menus adjacent to at least one condition and action.*

Poremsky teaches *wherein the user interface for defining the electronic message handling rule comprises at least one user interface object for defining at least one condition for the electronic message handling rule, wherein a drop down menu is adjacent to the at least one condition, wherein, in response to selecting the drop down menu, a list of entries displays customized conditions for the electronic message handling rule based on the identified context* (page 1 Figure 14.3 – check box located beside sent to with the drop down for user selection of a sent to);

*at least one user interface object for defining at least one action to be performed when the at least one condition is satisfied, wherein the at least one action to be performed comprises at least one of the following: displaying a new item alert window, selecting a sound, playing the selected sound, previewing the selected sound, moving an e-mail message to a selected folder, speaking selected text, and previewing the selected text;* (page 1 Figure 14.3 – check box beside play a selected sound) *and*

*an advanced user interface object for defining the electronic message handling rule that contains all of the possible actions and all of the possible conditions* (pages 1-3 Figures 14.3, 14.6, and 14.7 – the advanced options button opens the Rules Wizard at the Select conditions dialog). While the action of speaking and previewing selected text is not explicitly taught by Poremsky, one of skilled artisan is well aware of the method of text to speech, which can be used in e-mail applications for converting text into sound for impaired users of a system. Both Syroid and Poremsky are in the same field of endeavor which is message handling and it would have therefore been obvious to one skilled in the art at the time the invention was made to have included the user interface objects and advanced user interface object of Poremsky with the

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system of Syroid to provided an efficient and easily operated user interface for creating message handling rules.

Outlook teaches a user selecting an electronic mail message (Figure 1 and 2) and while viewing the message (Figure 2 and 3) having the option of selecting an action to create a rule (Figure 3). When the action to create a rule is selected, the context of the message is populated to create a condition based on the context of the message (Figure 4). Outlook additionally teaches where a user interface button can be located at various regions of the Application, such as in the Actions menu (Figure 3), in the applications menu bar and opened electronic email message menu bar (Figure 5). It is reasonable to one skilled in the art at the time the invention was made (e.g., a computer programmer who writes code for GUIs within an email application) that the position of the button can be located in a wide variety of locations within the application and electronic message at a user's preference for quick and convenient access. While Outlook does not explicitly teach the button being located in the reading pane, it is reasonable for a button to be present in the reading pane to perform a function similar to that of Fortenberry (Fig. 1, column 2 lines 21-55, column 4 lines 38-41), which provides user selectable buttons within an electronic message reading pane.

It would have been obvious to one skilled in the art at the time the invention was made to have incorporated the additional options for accessing the messaging handling system as that of Outlook and Fortenberry with Syroid in view of Poremsky to provide the user with quick access to a user interface for creating a message handling rule.

**As to dependent claim 3**, Syroid teaches wherein the at least *one user interface object* for defining the at least one condition for the electronic message handling rule are a subset of all of the possible conditions for the electronic message handling rule (Fig 6-17 → Syroid discloses in his figure that the conditions are grouped under a larger group of conditions).

**As to dependent claim 5**, Syroid teaches wherein the at least one user interface object for defining the at least one condition for the electronic message handling rule comprise a user interface object for setting a condition for the electronic message handling rule based on the identity of a sender of the received electronic mail message (p. 250 [013], p. 252 [018] → Syroid discloses that one of the conditions of setting a flag can be applied to a message sent from a specified individual).

**As to dependent claim 6**, Syroid teaches wherein the at least one user interface object for defining the at least one condition for the electronic message handling rule comprise a user interface object for setting a condition for the electronic message handling rule based on a subject of the received electronic mail message (p. 250 [014], p. 252 [020] [022] → Syroid discloses that a condition could be based on content of a received message).

**As to dependent claim 7**, Syroid teaches wherein the at least one user interface object for defining the at least one condition for the electronic message handling rule comprise a user interface object for setting a condition for the electronic message handling rule based on the identity of at least one intended recipient of the electronic mail message (p. 250 [015], p.252



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[019] → Syroid discloses that conditions could be set for messages that are sent to specified individuals).

**As to dependent claim 9**, Syroid teaches the at least *one user interface object for defining the at least one condition for the electronic message handling rule comprise a user interface object for setting a condition for the electronic message handling rule based on the identity of the intended recipient of the created electronic mail message* (p. 250 [015] → Syroid discloses that conditions could be set for messages that are sent to specified individuals).

**As to dependent claim 10**, Syroid teaches the at least *one user interface object for defining the at least one condition for the electronic message handling rule comprise a user interface object for setting a condition for the rule based on a subject of the created electronic mail message* (p. 252 [020] → Syroid discloses that messages sent which are created may set conditions based on specified words in the subject of a message).

**As to dependent claim 12**, Syroid teaches wherein *the at least one condition for the electronic message handling rule comprise receiving an alert electronic mail message generated as a result of the alert subscription* (p. 249 [011] → Syroid discloses that a user-specified notification message is displayed in reference to an important message alert).

**As to dependent claim 14**, Syroid teaches wherein *the at least one user interface object for defining the at least one condition for the rule comprise a user interface object for setting a*

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*condition for the electronic message handling rule based on the selected name (p. 250 [013]*

[015] → Syroid discloses that conditions could be set for messages that are sent to specified individuals).

**As to dependent claim 15**, Syroid teaches *wherein the at least one user interface object for defining the at least one condition for the electronic message handling rule comprise a user interface object for setting a condition for the rule based on a subject of a received electronic mail message (p. 252 [020] → Syroid discloses that a condition could be based on content of a received message).*

**As to independent claim 18**, claim 18 recites substantially similar subject matter as that of claim 1 and is rejected under the same rationale.

**As dependent to claim 19**, Syroid teaches *wherein the at least one user interface object for defining the at least one condition for the electronic message handling rule comprise a user interface object for setting a condition for the electronic message handling rule based on the identity of a sender of the electronic mail message when the context comprises the context of reading the electronic mail message (p. 246 [005], p. 249 [010] [012] → Syroid discloses that conditions and actions can be set for incoming messages to read based on content of the message and the identity of the sender).*

**As to dependent claim 20**, Syroid teaches *the at least one user interface object for defining the at least one condition for the electronic message handling rule comprise a user interface object for setting a condition for the electronic message handling rule based on a subject of the electronic mail message when the context comprises the context of reading the electronic mail message* (p. 250 [014], p. 252 [020] → Syroid discloses that conditions and actions can be set for incoming messages to read based on content of the message).

**As to dependent claim 21**, Syroid teaches *the at least one user interface object for defining the at least one condition for the electronic message handling rule comprise a user interface object for setting a condition for the rule based on the identity of the at least one intended recipient of the electronic mail message when the context comprises the context of reading the electronic mail message* (p. 252 [019] → Syroid discloses that conditions and actions can be set for outgoing messages based on the intended receiver).

**As to dependent claim 22**, Syroid teaches *the at least one user interface object for defining the at least one condition for the electronic message handling rule comprise a user interface object for setting a condition for the electronic message handling rule based on the identity of the intended recipient of the electronic mail message when the context comprises the context of creating the electronic mail message* (p. 252 [019] → Syroid discloses that conditions can be set for messages created and being sent to an individual).

**As to dependent claim 23**, Syroid teaches *the at least one user interface object for defining the at least one condition for the electronic message handling rule comprise a user interface object for setting a condition for the electronic message handling rule based on a subject of the electronic mail message when the context comprises the context of creating the electronic mail message* (p. 249 [009], p. 252 [020] → Syroid discloses the conditions could be set for messages that he user creates based on the content of the message).

**As to dependent claim 24**, Syroid teaches *the at least one user interface object for defining the at least one condition for the electronic message handling rule comprise a user interface object for setting a condition for the electronic message handling rule based on the selected name when the context comprises the context of selecting a name* (p. 248 [008], p. 250 [013] → Syroid discloses that names can be selected from a database, with the selected names conditions can be set for that individual person or persons).

**As to dependent claim 25**, Syroid teaches *the at least one user interface object for defining the at least one condition for the electronic message handling rule comprise a user interface object for setting a condition for the electronic message handling rule based on a subject of a received electronic mail message when the context comprises the context of selecting a name* (p. 248 [008], p. 249 [010], p.252 [020] → Syroid discloses conditions can be based on incoming messages with specified content and from a specified person).

**As to dependent claim 30 and 31**, Syroid teaches a user interface for creating message handling rules and having multiple buttons for performing functions within the user interface.

Syroid does not explicitly teach *wherein the user interface displays a simplified user interface containing a subset of all actions for defining the at least one condition and the at least one action for handling the electronic message; and provides a second user interface button, wherein the second user interface button is configured to provide an advanced user interface containing all of the possible actions to be performed and wherein the simplified user interface contains at least one commonly utilized action to be performed.*

Outlook teaches an organize pane which gives a simplified version of rules that can be implemented on messages (Figure 7). Further Outlook displays a second interface button “Rules Wizard”, that when selected will provide additional more advanced features for creating rules to apply to messages (Figures 7-9). This feature provided by Outlook is a well-known method to one skilled in the art to allow novice users to interact with essential and common functions within the user interface and provide expert users with advanced options to further customize rules, more commonly seen in a dialog box with an “Advanced” button.

It would have been obvious to one skilled in the art at the time the invention was made to have combined the message handling rule interface of Syroid with the interface of Outlook to allow novice users to interact with essential and common functions within the user interface and provide expert users with advanced options to further customize rules.

**As to independent claim 32**, claim 32 recites substantially similar subject matter as that of claim 1, except that it is a system that implements the method of claim 1 with implicit devices as memory storage and a processing unit, and is rejected under the same rationale.

### ***Response to Arguments***

Applicant's arguments with respect to claims 1, 18, and 32 have been considered but are moot in view of the new ground(s) of rejection.

### ***Conclusion***

The prior art made of record on PTO Form 892 and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Andrea N. Long whose telephone number is 571-270-1055. The examiner can normally be reached on Mon - Thurs 6:00 am to 3:00 pm EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Doug Hutton can be reached on 571-272-4137. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Andrea Long  
October 22, 2008

/Rachna S Desai/  
Primary Examiner, Art Unit 2176